

UNITED STATES DISTRICT COURT
for the

District of Connecticut

Securities and Exchange Commission _____
Plaintiff _____
v.
David E. Zilkha _____
Defendant _____

13 MISC. 049

Civil Action No. 3:12cv447 (JCH)

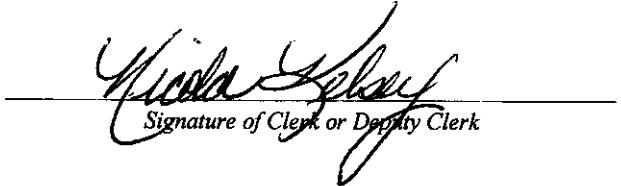
CLERK'S CERTIFICATION OF A JUDGMENT TO BE REGISTERED IN ANOTHER DISTRICT

I certify that the attached judgment is a copy of a judgment entered by this court on (date) 06/28/2012.

I also certify that, as appears from this court's records, no motion listed in Fed. R. App. P. 4(a)(4)(A) is pending before this court and that no appeal has been filed or, if one was filed, that it is no longer pending.

Date: 11/27/2012

CLERK OF COURT


Signature of Clerk or Deputy Clerk

UNITED STATES DISTRICT COURT
DISTRICT OF CONNECTICUT

SECURITIES AND EXCHANGE
COMMISSION

v.

3:12cv447(JCH)

DAVID E. ZILKHA

JUDGMENT

This action came on for consideration before the Honorable Janet C. Hall, United States District Judge, as a result of plaintiff's Application for Prejudgment Remedy. On June 26, 2012, the court entered an Order for Judgment against defendant David E. Zilkha, for imposition of a disgorgement obligation in the amount of \$250,000, plus prejudgment interest.

Therefore, it is ORDERED and ADJUDGED that judgment is entered in favor of the plaintiff, Securities and Exchange Commission, in the amount of \$250,000.00, plus prejudgment interest, against the defendant, and the case is closed.

Dated at Bridgeport, Connecticut, this 28th day of June, 2012.

ROBIN D. TABORA, Clerk

By /s/ Bernadette J. DeRubeis
Deputy Clerk

Entered on Docket _____

I Herby attest and certify that this is a printed copy of a document which was electronically filed with the United States District Court for the District of Connecticut.
Date Filed: 6/28/12
By Roberta D. Tabora, Clerk

By Denise Clark

CLOSED, EFILE

U.S. District Court
United States District Court for the District of Connecticut (New Haven)
CIVIL DOCKET FOR CASE #: 3:12-cv-00447-JCH
Internal Use Only

Securities and Exchange Commission v. Zilkha
Assigned to: Judge Janet C. Hall
Demand: \$453,000
Cause: 15:78m(a) Securities Exchange Act

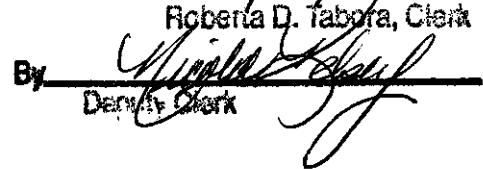
Date Filed: 03/23/2012
Date Terminated: 06/28/2012
Jury Demand: None
Nature of Suit: 850
Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

Date Filed	#	Docket Text
11/26/2012	40	ORDER terminating as moot <u>32</u> Motion to Stay; terminating as moot <u>32</u> Motion to Dismiss. SO ORDERED by Judge Janet C. Hall on 11/26/2012. (Lewis, D) (Entered: 11/26/2012)

I Herby attest and certify that this is a printed copy of a document which was electronically filed with the United States District Court for the District of Connecticut.

Date Filed: 11/26/12

By Roberta D. Tabora, Clerk


Roberta D. Tabora, Clerk